

Feb. 12, 2025

# Developing Al Policies for Public Health Organizations



# **Save the Date!**

### Navigating AI: Exploring Opportunities, Challenges, and Policy Development – Panel and Presentation

March 6, noon-1 p.m. CST

#### **Featured Panel:**

- Justin Snair, Managing Partner & Senior Principal Consultant, SGNL Solutions; CEO, Preppr.ai
- Jamie Pina, Ph.D., M.S.P.H., FAMIA, Senior Director, Center for Data Modernization Solutions, RTI International.
- Panelist is pending







### **Webinar Speakers**



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### Welcome

**Overview**: The AI Template and Guidance and Chatbot

**Preparing for Al Policy**: Essential Pre-Development Activities

**Unpacking the AI Template:** Bias Mitigation

Unpacking the AI Template: Human Oversight

What Other Sections Could Be Included in Al Policy? A Brief Overview

**Closing Comments** 



# Al Template and Guidance Overview



# **Authors and Contributors**

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# What is Artificial Intelligence (AI)?

Artificial Intelligence: It is the science and engineering of making machines that can simulate human intelligence to perform complex tasks.

Machine Learning: A way for computers to learn from data and improve over time.

**Deep Learning:** A type of neural network with many layers, used for more complex tasks.

**Generative AI:** AI that creates new content, like text, images, or sound.



### Why is Al Conversation Timely?



### AI Template and Guidance Webpage

![](_page_10_Picture_1.jpeg)

### Supplemental Materials

#### State-Level Policies

Includes a review of 152 state bills from 36 states and Washington, D.C.

![](_page_11_Figure_3.jpeg)

#### Literature Review

Provides a summary of considerations for AI in public health, through 10 research questions and an analysis of 96 articles

### **AI Template and Guidance: Overview**

![](_page_12_Figure_1.jpeg)

Audience

Public health organizations, other stakeholders Purpose

Assists public health organizations in Al policy development Scope

Publicly accessible AI systems, particularly those with generative AI capabilities

# **AI Template and Guidance: Overview**

Recommends to clearly define the rationale and intended use of AI before developing policies. Recommends to consider ethical and operational impacts of Al. Emphasizes that AI is not monolithic acknowledge various technologies and methodologies.

Recommends to ensure flexibility in policies to accommodate evolving Al technologies. Advises against naming specific AI tools due to rapid evolution.

### Key Elements of the AI Template and Guidance

![](_page_14_Figure_1.jpeg)

![](_page_15_Picture_0.jpeg)

# Al Policy Template and Guidance Chatbot

![](_page_15_Picture_2.jpeg)

# **Purpose of the Chatbot**

- Increase accessibility to the *Template* and *Guidance* and streamline information collection by users to inform the pilot period.
- Assist users with finding information most applicable to their needs and the needs of their organizations.

![](_page_16_Picture_3.jpeg)

# **Programming Chatbot**

- Scope and role
- Constraints
  - Exclusive reliance on the training data
- Persona
- Guidelines for interaction
  - Professionalism
  - Preferred language
- Pre-programmed responses for certain questions

![](_page_17_Picture_9.jpeg)

### Select Chatbot Uses in Public Health

![](_page_18_Picture_1.jpeg)

# Increase accessibility to public health communication

- 24/7 information
- Supports multiple languages
- Easy access to materials on websites

### **Streamline administrative tasks**

- Assist with FAQs and service navigation
- Extract specific information from large, technical resources

![](_page_19_Picture_0.jpeg)

# Pre-Work Activities

![](_page_19_Picture_2.jpeg)

## Moving at the Speed of Trust and Effectiveness

Public health practitioners understand the importance of ensuring quality, mitigating unintended consequences and maximizing benefits.

![](_page_20_Picture_2.jpeg)

## Moving at the Speed of Trust and Effectiveness

### **Pre-Work Activities**

Practicing Naming Problems and Solutions to Advance Mission-Focused Use of Al

![](_page_21_Picture_3.jpeg)

The Role of Change Management During Al Implementation

![](_page_21_Picture_5.jpeg)

Adapting Sample Provisions to Your Policy

### Activity #1: Practicing Naming Problems and Solutions to Advance Mission-Focused Use of Al

What issues are you trying to address?

How do you know that technological solutions are needed to solve the issues?

- Develop clarity around the problems, their causes and gaps.
- Assess whether technology can address those gaps.
- Assess Al's possible role.
- Guide the structuring of AI policy.

### Activity #1: Practicing Naming Problems and Solutions to Advance Mission-Focused Use of Al

![](_page_23_Figure_1.jpeg)

### **Activity #2: The Role of Change Management During AI Implementation**

How will your organization address fears and reinforce a commitment to AI as a tool to complement and not replace the workforce?

- Change management practices are useful to manage transitions and disruptions.
- We offer an adaptation of *Kotter's 8* Steps for Leading Change with specific Alfocused examples.
- Use this framework to build workforce collaboration and momentum as AI is considered and used.

### Kotter's 8 Steps for Leading Change: Adapted for Applying AI in Public Health

![](_page_25_Figure_1.jpeg)

Source: Kotter Inc. (n.d.). The 8-step process for leading change model. Adapted and examples provided by KHI for applying AI for Public Health Organizations. Retrieved Nov. 22, 2024, from https://www.kotterinc.com/methodology/8-steps/

### **Activity #3: Adapting Sample Provisions to Your Policy**

- The provisions in your organization's AI policy need to be your own, fit your context and relevant to your work and mission.
- The provisions we offer are based on literature and an analysis of existing policies but should be adapted to your context.

#### Considerations

Applicability

Core values and mission

**Commitment to equity, inclusivity, and non-discrimination** 

**Expertise and resources** 

Integration into existing workflows

**Potential impacts** 

Trust and transparency with public

![](_page_27_Picture_0.jpeg)

# Sample Sections: Bias Mitigation and Human Oversight

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![](_page_28_Picture_0.jpeg)

# **Bias Mitigation**

![](_page_28_Picture_2.jpeg)

### **Types of Biases in Al Systems**

![](_page_29_Figure_1.jpeg)

Source: The visual was developed by the Kansas Health Institute based on the findings from the literature review.

![](_page_30_Picture_0.jpeg)

### Bias Mitigation

![](_page_30_Figure_2.jpeg)

# Why is Bias Mitigation Important to Include In Your Policy?

- Bias can exist in AI systems due to the nature of how they were developed and trained.
- Al systems rely heavily or exclusively on data to learn and make decisions.
- Any bias present in the training data can be absorbed by the algorithm, leading to biased outcomes.

![](_page_31_Picture_0.jpeg)

### Bias Mitigation

![](_page_31_Figure_2.jpeg)

**Vignette:** Addressing Cultural and Social Bias in Al-Generated Public Health Messaging (Hypothetical)

**Goal:** Develop health education materials on food and nutrition.

**Issue:** AI outputs were generic and not tailored to different cultures.

**Strategies:** 

- Bias Awareness and Sensitivity
- Regular Feedback Loops with Community
- Culturally Inclusive Prompt Engineering

# Minimize Bias by Engineering Neutral and Inclusive Prompts

**Generic Prompt:** "Create a list of healthy meals for adults to reduce the risk of heart disease."

May favor foods from specific cultures, ignoring diverse dietary needs, suggesting expensive or inaccessible ingredients, exclude individuals with dietary restrictions, cultural preferences or limited resources. **Bias Reduction Approach:** "Provide a culturally diverse, cost-conscious and inclusive list of dietary options to reduce the risk of heart disease. These should reflect geographic availability, individual dietary restrictions (e.g., allergies, religious practices, lifestyle choices), and the broader social determinants of health (e.g., income, education, food accessibility)."

This approach reduces potential biases by promoting inclusivity and accessibility. Individuals aiming to reduce bias in AI-generated content should provide nuanced community context for more relevant and culturally specific messaging.

![](_page_33_Picture_0.jpeg)

### Bias Mitigation

![](_page_33_Figure_2.jpeg)

### **Bias Mitigation Provisions**

- Acknowledge inherent bias in AI systems and be able to recognize biased outputs.
- Evaluate or audit AI systems and user behavior to mitigate bias.
- Engineer prompts that avoid assumptions or stereotypes. Tailor prompts for specific populations and contexts.

![](_page_34_Picture_0.jpeg)

### Bias Mitigation

![](_page_34_Figure_2.jpeg)

Training/Capacity Building	[Organization] will require training for any staff members who use AI systems to identify potential biases in AI outputs, especially bias risks in public health.
Prompt Engineering	[Organization] will create guidance for users to actively reflect on personal assumptions or perspectives that may introduce bias into their prompts.
Bias Identification	[Organization] requires users to review, verify and fact-check through multiple sources the content generated by Generative AI for accuracy and to eliminate instances of bias, offensive, inaccurate or harmful material if it has been produced by AI.
Transparency and Communication	[Organization] will establish guidelines for staff members to maintain transparent documentation regarding their usage of AI systems, including any known limitations or biases.

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![](_page_35_Picture_2.jpeg)

![](_page_36_Picture_0.jpeg)

![](_page_36_Figure_2.jpeg)

# Why is Human Oversight Important to Include In Your Policy?

- Helps detect and mitigate errors, biases or unintended consequences of Al-generated outcomes.
- Ensures that AI serves as a reliable tool for tailored, accurate and effective public health interventions requires continuous validation by human experts.
- Reduces risk of automated systems overlooking important contextual factors that may compromise the well-being of populations.
- Maintains public trust, responsiveness and effectiveness in public health strategies.

![](_page_37_Picture_0.jpeg)

![](_page_37_Figure_2.jpeg)

**Vignette:** Assigning Human Responsibility to Verify AI-Generated Comparative Analysis of State Medical Marijuana Policies (Hypothetical)

**Goal:** Use generative AI to conduct a policy review comparing medical marijuana legislation.

**Issue:** Al outputs missed critical legal nuances across the different policies.

Strategies:

- Training on Policy-Specific Biases and Legal Nuances
- State-Specific Prompt Engineering
- Ongoing Validation Through Expert Review

![](_page_38_Picture_0.jpeg)

![](_page_38_Figure_2.jpeg)

Human Oversight Provisions in Al Policies:

- Prohibit Fully Automated Decisions: No Al-only decisions in critical areas like service eligibility.
- Accountability Measures: Regular audits, oversight committees and ethical procurement standards.
- Human Validation: Required checks for accuracy and bias in AI-generated content.

Ensures AI use is transparent, ethical and accountable.

![](_page_39_Picture_0.jpeg)

Purpose	Vignette	Overview of Provisions	Sample Provisions
			>

Oversight Processes and Protocols	[Organization] will develop oversight processes for AI use dependent on the task's risk level. Activities deemed low risk will be overseen by the user, while tasks classified as higher risk will follow the established quality assurance process.
Accountability and Oversight	[Organization] prohibits the full automation of decisions without human review, especially pertaining to whether individuals qualify for services or benefits.
Error Investigation and Responsibility	[Organization] will establish processes for investigating and addressing errors in AI use, ensuring a fair assessment of accountability
Whistleblower Protections for Al-Related Concerns	[Organization] will ensure that employees are protected when they raise concerns about the misuse of AI, biased outputs or unethical use of AI-generated content, ensuring these issues are not ignored, suppressed or used as the basis for adverse employment decisions, in accordance with existing law.

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# Other Sections and Provision in Al Policy Development

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### Why Include 'Other Sections and Provisions?'

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![](_page_41_Picture_2.jpeg)

![](_page_41_Figure_3.jpeg)

Address emerging and critical AI considerations Fill policy gaps

Forward-thinking approach to Al governance

## **Other Sections and Provisions**

### Al Authorship & Copyright

Who takes credit for AI-generated content?

#### **Environmentally Conscious Considerations**

Recognizing the environmental impact of AI and aligning use with sustainability goals.

#### Acquisition of AI Tools

Ensuring ethical and legally compliant AI procurement.

#### **Evaluation and Quality Improvement**

Ongoing monitoring to ensure AI effectiveness.

### Why These Sections Matter

Al Authorship & Copyright	Misuse of AI-generated content can create legal and ethical concerns.
Environmental Impact	Al's carbon footprint is growing, and organizations must balance innovation with sustainability.
Acquisition of Tools	AI tools should meet ethical, security and transparency standards before adoption.
Ongoing Evaluation	AI policies should not be "set and forget." Regular audits ensure AI remains ethical and effective.

# **Environmentally Conscious Considerations**

### Considerations

- Environmental Impact: Increased energy consumption, higher water usage and greenhouse gas emissions associated with training, deploying and ongoing use of AI models.
- Variation in Energy Use: Different Al models vary significantly in their energy consumption based on factors such as their size, complexity and usage.

### **Provisions**

- Emphasize the importance of balancing innovation with sustainability.
- Encourage the responsible use of AI technologies to minimize negative environmental consequences.

### Focus of Example Provisions: Environmentally Conscious Considerations

![](_page_45_Picture_1.jpeg)

Advancing environmental health objectives using AI

![](_page_45_Picture_3.jpeg)

Collaborating with stakeholders to improve AI energy efficiency and reduce carbon footprints

![](_page_45_Picture_5.jpeg)

Assessing public health impacts of AI infrastructure, including data centers

![](_page_45_Picture_7.jpeg)

Engaging local communities in AI-related infrastructure planning

![](_page_45_Picture_9.jpeg)

Staying updated on scientific advancements and best practices related to Al's environmental impact

# **Other Sections and Provisions**

- Authorship and Citation
- Copyright
- Environmentally Conscious Considerations
- Acquisition of AI Tools
- Evaluation and Quality Improvement

### **In Summary**

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- The use of AI should be **strategic** and based on a clear rationale.
- Al policies should be **adaptive**—technology evolves, and so should guidelines or policies.
- Transparency and accountability must be built into every step of AI adoption.
- Ethical AI is not just about avoiding harm but actively promoting good.
- Regular reviews and updates will ensure policies stay relevant as AI advances.

### We Value Your Feedback!

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bit.ly/3CUVglo

![](_page_49_Picture_0.jpeg)

# THANK YOU!

![](_page_49_Picture_2.jpeg)

### Resources

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